

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Marc ROBELET :
Serial No. NEW : **Attn: APPLICATION BRANCH**
Filed December 3, 2003 : Attorney Docket No. 2003-1749A
STEEL FOR MECHANICAL
CONSTRUCTION, METHOD OF
HOT-SHAPING OF A PART FROM THIS
STEEL, AND PART THUS OBTAINED :

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449 (except DE 199 38 936), reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page ,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Marc ROBELET

By:


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December 3, 2003

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: December 3, 2003

ATTY DOCKET NO.
2003-1749ASERIAL NO.
NEWAPPLICANT
Marc ROBELETFILING DATE
December 3, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,332,938	12/2001	Sugawara			
	AB	5,667,605	9/1997	Bellus et al.			
	AC						
	AD						
	AE						
	AF						
	AG						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AH	2 345 699	7/2000	Great Britain			
	AI	199 38 936	3/2000	Germany			
	AJ	0 864 662	9/1998	Europe			
	AK	2001-123242	5/2001	Japan			
	AL	01/59170	5/2001	WO			
	AM	98/03686	1/1998	WO			
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	P. Kapranos et al., "Semi-solid processing of tool steel", Bulletin De L' Academie Nationale De Medecine, vol. 3, no. 7, part 2, pages 835-840, November, 1993.
	AP	P. Kapranos, et al., "Semi-solid processing of aluminum and high melting point alloys", Proc. Instn. Mech. Engrs., vol. 207, no. B01, pages 1-8, 1993.
	AQ	Heike Meuser et al., "Microstructural investigations in the semi-solid state of the steel X210CrW12", Steel Research, vol. 27, no. 7, pages 271-276, 2001.

EXAMINER

DATE CONSIDERED